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Paper No. None

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In re Application of	:	
William P. Henson, Robert S. Jakacki, Norton	:	
H. Reamer, and Alexander G. Von York	:	
Application No. 10/805,063	:	DECISION ON PETITION UNDER
Filed: March 19, 2004	:	37 C.F.R. §1.47(A)
Attorney Docket No. 0516625.0101	:	
Title: REVENUE SHARE INTEREST	:	
METHOD OF FINANCING AN ASSET	:	
MANAGEMENT FIRM	:	

This is in response to the petition under 37 C.F.R. §1.47(a)¹, filed August 3, 2004.

On March 19, 2004, the application was deposited without an oath or declaration, identifying William P. Henson, Robert S. Jakacki, Norton H. Reamer, and Alexander G. Von York as joint inventors. The application was deposited with a declaration which was executed by each of the joint inventors save Mr. Henson. On June 3, 2004, applicant was mailed a "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted" (Notice), requiring a fully executed oath or declaration in compliance with 37 C.F.R. §1.63 and the surcharge associated with the late submission of the same. This Notice set a two-month period for reply.

¹ A grantable petition under 37 C.F.R. §1.47(a) requires:

- (1) the petition fee of \$130;
- (2) a surcharge of either \$65 or \$130 if the petition is not filed at the time of filing the application, as set forth in 37 CFR § 1.16(e);
- (3) a statement of the last known address of the non-signing inventors;
- (4) either
 - a) proof that a copy of the entire application (specification, claims, drawings, and the oath or declaration) was sent or given to the non-signing inventor for review and proof that the non-signing inventor refuses to join in the application or
 - b) proof that the non-signing inventor cannot be found or reached after diligent effort;
- (5) a declaration which complies with 37 CFR §1.63.

With the instant petition, Petitioner has submitted the petition fee, a statement of facts, the surcharge, and a copy of a federal express tracking receipt. A copy of the letter which was sent to the non-signing inventor has not been provided.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR §1.47(a).

The petition is **GRANTED** and this application is hereby accorded Rule §1.47(a) status.

As provided in Rule 1.47(a), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

After this decision is mailed, the application will be forwarded to Technology Center 3600 for further processing.

Telephone inquiries regarding this decision should be directed to the undersigned at (703) 305-0011. Please note that on approximately September 28, 2004, the Office of Petitions will relocate to the new PTO location in Alexandria. Although the mailing address will remain the same, the general phone number for the Office of Petitions which should be used for status requests will change to 571-272-3282, and the telephone number for the undersigned will change to 571-272-3225.



Paul Shanowski
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Office of Petitions
United States Patent and Trademark Office